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ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,123	09/01/2001	Du Castel	40.0048

CONFIRMATION NO. 2062

26751

 SCHLUMBERGER AUSTIN TECHNOLOGY CENTER  
 ATTN: PEHR B. JANSSON, INTELLECTUAL PROP LAW DEPT.  
 8311 NORTH FM 620  
 AUSTIN, TX 78726

## FORMALITIES LETTER



\*OC000000006858923\*

Date Mailed: 10/05/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$432.  
    ■ \$432 for 24 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1272.**


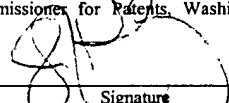
*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:  **Leydier, et al.** § Group Art Unit: **COPY OF PAPERS 2131**  
 Serial No.: **09/945123** § **ORIGINALLY FILED**  
 Filed: **August 31, 2001** § Examiner: **Unknown**  
 For: **Voice Activated Smart Card** § Attorney Docket: **40.0048**  
 § I, the undersigned Joseph P. Lally, hereby certify that I am depositing or  
 § that I have a reasonable basis to expect another to deposit this correspondence  
 § on or before the date indicated below with the US Postal Service with  
 § sufficient postage as first class mail in an envelope addressed to: BOX  
 § MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C.  
 § 20231.  
 § December 5, 2001  
 § Date  Signature

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

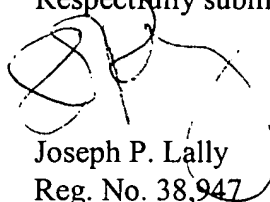
BOX MISSING PARTS  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated October 5, 2001 (the "Notice") in the above referenced patent application, Applicants hereby submit the enclosed Declaration, Election and Power of Attorney, and Assignment and Recordation Cover Sheet, with a copy of the Notice. A check made payable to the Commissioner of Patents and Trademarks in the amount of \$1,312.00 is also enclosed. If for any reason the check is missing or insufficient to cover the fees associated with this response, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to Dewan & Lally, L.L.P. Deposit Account No. 50-0335/SCH.5101. Two copies of this sheet are enclosed.

If any questions arise during the processing of this request, the undersigned would welcome and encourage the opportunity to resolve the issue with a telephone conference. Your assistance in this matter is greatly appreciated.

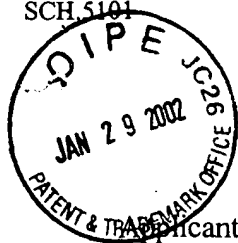
Respectfully submitted,



Joseph P. Lally  
Reg. No. 38,947  
ATTORNEY FOR APPLICANT(S)

DEWAN & LALLY, L.L.P.  
P.O. Box 684749  
Austin, Texas 78768-4749  
512.428.9870  
512.428.9871 (FAX)

JPL/mmm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	<b>Leydier, et al.</b>	§	Group Art Unit:	<b>2131</b>
Serial No.:	<b>09/945123</b>	§	Examiner:	<b>Unknown</b>
Filed:	<b>August 31, 2001</b>	§	Attorney Docket:	<b>40.0048</b>
For:	<b>Voice Activated Smart Card</b>	§	I, the undersigned <u>Joseph P. Lally</u> , hereby certify that I am depositing or that I have a reasonable basis to expect another to deposit this correspondence on or before the date indicated below with the US Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
		§	December 5, 2001	
		§	Date	Signature

**REQUEST FOR CORRECTED FILING RECEIPT**

OFFICE OF INITIAL PATENT EXAMINATIONS CUSTOMER SERVICE CENTER  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned recently received the original Filing Receipt in connection with the above-identified patent application. The Filing Receipt lists September 1, 2001, as the Filing Date. The correct Filing Date is August 31, 2001. Further, the first named Applicant should be Robert A. Leydier, as indicated in Attachment "A". Accordingly, a corrected Filing Receipt is respectfully requested.

Regarding the incorrect Filing Date, Applicant deposited the application with the United States Postal Service ("USPS") on August 31, 2001. The USPS erroneously inserted September 1, 2001, in the "Date In" box of the Express Mail label. USPS tracking records confirm the correct "Date In" as August 31, 2001. Once Applicant brought to the attention of the USPS the erroneous "Date In" inserted in the Express Mail label by USPS personnel; the USPS re-marked Applicant's copy of the Express Mail label with the official mark of the USPS indicating the receipt date as August 31, 2001. Copies of the USPS tracking records and the Express Mail label bearing the official notation of the USPS are attached. Accordingly and pursuant to 37 C.F.R. § 1.10(d), Applicant requests the Commissioner accord August 31, 2001 as the filing date; such date being the date Applicant deposited the application "in the Express Mail Post Office to Addressee service prior to the last scheduled pickup for that day" and which is now reflected by official USPS notation.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20523  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/945,123	09/01/2001 02/31/2001	2131	0.00	40,0048	10	44	2

26751

SCHLUMBERGER AUSTIN TECHNOLOGY CENTER  
ATTN: PEHR B. JANSSON, INTELLECTUAL PROP LAW  
8311 NORTH FM 620  
AUSTIN, TX 78726

CONFIRMATION NO. 2062

FILING RECEIPT



\*OC000000006858922\*

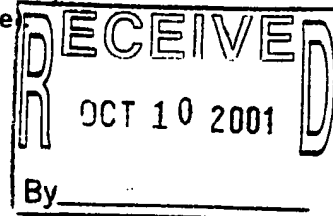
<b>Docketed</b>
Action: <u>Filing Receipt</u>
Due Date: <u>04/5/01</u>
By: <u>MD</u> On: <u>10/11/01</u>
Attorney Initials: <u>PS</u>

Date Mailed: 10/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) LEYDIER, ROBERT A.~~Du Castel~~; Residence Not Provided;  
du Castel, Bertrand

Domestic Priority data as claimed by applicant



Foreign Applications

If Required, Foreign Filing License Granted 10/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Voice activated smart card

Preliminary Class

713

LICENSE FOR FOREIGN FILING UNDER



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Receipt 1/18  
#3

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/945123	
	Filing Date	08/31/2001	
	First Named Inventor	Leydier	
	Group Art Unit	2131	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	19	Attorney Docket Number	40.0048

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A. Declaration B. Request for Corrected Filing Receipt C. Return Receipt Postcard (MPEP 503)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph P. Lally Dewan & Lally, L.L.P.
Signature	
Date	December 5, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">December 5, 2001</span>			
Typed or printed name	Joseph P. Lally		
Signature		Date	December 5, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,312.00

## Complete if Known

Application Number	09/945123
Filing Date	08/31/2001
First Named Inventor	Leydier
Examiner Name	Unknown
Group Art Unit	2131
Attorney Docket No.	40.0048

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
44	-20** = 24	X 18.00	= 432.00
3	-3** = 0	X 80.00	= 0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 432.00

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

## SUBMITTED BY

Name (Print/Type)	Joseph P. Lally	Registration No. (Attorney/Agent)	38,947	Telephone	512.428.9870
Signature		Date	December 5, 2001		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.